

PARABOLIC SOLUTIONS FOR THE PLANAR N -CENTRE PROBLEM: MULTIPLICITY AND SCATTERING

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ABSTRACT. For the planar N -centre problem

$$\ddot{x} = - \sum_{i=1}^N \frac{m_i(x - c_i)}{|x - c_i|^{\alpha+2}}, \quad x \in \mathbb{R}^2 \setminus \{c_1, \dots, c_N\},$$

where $m_i > 0$ for $i = 1, \dots, N$ and $\alpha \in [1, 2)$, we prove the existence of entire parabolic trajectories, having prescribed asymptotic directions for $t \rightarrow \pm\infty$ and prescribed topological characterization with respect to the set of the centres.

1. INTRODUCTION AND STATEMENT OF THE MAIN RESULT

The N -centre problem is the problem of the motion of a test particle in the attracting field generated by N fixed heavy bodies c_1, \dots, c_N ; in Celestial Mechanics, it often arises as a simplified version of the restricted circular $(N+1)$ -body problem in a rotating frame, when centrifugal and Coriolis' forces are neglected. For $N = 1$, of course, it just reduces to the classical Kepler problem, while the case $N = 2$ has been solved by Jacobi (see, for instance, [21]). For $N \geq 3$, on the contrary, the problem has been proved to be analitically non-integrable [5] and, in spite of its simple-looking structure, can indeed exhibit very complicated dynamics (see, among others, [7, 8, 13, 14, 15, 18]).

In this paper we will deal with the *planar generalized N -centre problem*

$$(1) \quad \ddot{x} = - \sum_{i=1}^N \frac{m_i(x - c_i)}{|x - c_i|^{\alpha+2}}, \quad x \in \mathbb{R}^2 \setminus \{c_1, \dots, c_N\},$$

where $\alpha \in [1, 2)$, thus including the classical Newtonian case $\alpha = 1$ as a particular case; of course, $m_i > 0$ for $i = 1, \dots, N$. Notice that the above equation has an Hamiltonian structure, with total energy given by

$$H(x, \dot{x}) = \frac{1}{2}|\dot{x}|^2 - \sum_{i=1}^N \frac{m_i}{\alpha|x - c_i|^\alpha}.$$

With this in mind, our aim is to prove the existence of entire *parabolic* (i.e., zero-energy) solutions to (1) having prescribed asymptotic directions at $\pm\infty$. More precisely, denoting by $\Sigma = \{c_1, \dots, c_N\}$ the set of the centres and naming partition of Σ any subset $\mathcal{P} \subset \Sigma$ with $\mathcal{P} \neq \emptyset$ and $\mathcal{P} \neq \Sigma$, our main result reads as follows.

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Theorem 1.1. *Let $N \geq 2$. For any asymptotic directions $\xi^-, \xi^+ \in \mathbb{S}^1$ with $\xi^- \neq \xi^+$ and for any partition \mathcal{P} of Σ , there exists a self-intersection free parabolic solution $x : \mathbb{R} \rightarrow \mathbb{R}^2 \setminus \Sigma$ of (1) satisfying $|x(t)| \rightarrow \infty$ for $t \rightarrow \pm\infty$,*

$$\lim_{t \rightarrow \pm\infty} \frac{x(t)}{|x(t)|} = \xi^\pm$$

and separating the set Σ according to the partition \mathcal{P} .

A comment about the statement: by the Jordan Theorem on a sphere, the above parabolic solution divides the plane into two connected components, both unbounded (see for instance [10, Lemma 2.1]); accordingly, the sentence “separating the set Σ according to the partition \mathcal{P} ” means that two centres lie in the same connected component if and only if they are both in \mathcal{P} or both in $\Sigma \setminus \mathcal{P}$.

Theorem 1.1 has to be interpreted in the context of *scattering*; indeed, it shows how the presence of two or more centres gives rise to (zero-energy) connections between any pair of asymptotic directions (but different), thus allowing in particular any value for the scattering angle. We stress that the analysis of the zero-energy case seems to be particularly interesting from this point of view; indeed, it is well known that for the central potential $V_\alpha(x) = \frac{m}{\alpha|x|^\alpha}$ (corresponding to the case $N = 1$ in the generalized N -centre problem) all parabolic solutions span an angle of $2\pi/(2-\alpha)$ (see, for instance, [9, Proposition 6.1]). This is in strong contrast with the positive energy case, where all (but one) scattering angles are always achieved; accordingly, it is immediately understood that the possibility of an arbitrary zero-energy scattering angle as in Theorem 1.1 is a genuine consequence of the presence of $N \geq 2$ centres and of the interaction of a parabolic solution with them. Incidentally, let us observe that, by collapsing all the centres into a single one, such parabolic solutions converge to the juxtaposition of two rectilinear zero-energy solutions of the α -Kepler problem (see Remark 3.3). From this perspective, we can also interpret Theorem 1.1 as a continuation-type result, producing however classical solutions starting from generalized ones (the case $\xi^- = \xi^+$ being indeed the only one in which we cannot rule out the presence of collisions).

We refer the reader to [3, 4, 12, 16, 17] for interesting investigations, from different point of views, about zero-energy solutions of various problems in Celestial Mechanics; we notice that, in spite of the differences between the considered models, all these results show the crucial role of parabolic solutions as carriers from different regions of the phase-space, in complete agreement with Theorem 1.1. We also mention that an extensive analysis of the scattering process for the planar N -centre problem has already been given in the excellent monograph [14] by Klein and Knauf, dealing however only with the Newtonian case ($\alpha = 1$) and with positive energy solutions. The results therein are obtained via a global regularization of the problem, allowing to apply the theory of geodesics on surfaces of negative curvature. It is plausible that some results for the zero-energy case could be derived via a limiting procedure; we stress, however, that our approach is more direct and it allows the study of the generalized problem (1) with $\alpha \in [1, 2)$ in a unified way.

For the proof of our result, we combine indeed the variational approach to the construction of topologically non-trivial solutions of the Bolza boundary value problem associated with (1), developed in [18, 19], together with a limiting procedure introduced in the recent paper [9], dealing with parabolic solutions of the N -centre problem in the three-dimensional space. Both these tools are available when $\alpha \in [1, 2)$; it has to be emphasized, however, that the Newtonian case is still

more difficult, and indeed requires the use of some (local, Levi-Civita type) regularization techniques. We also notice that, while in the spatial case solutions of the (fixed-energy) Bolza problem were found via a min-max argument, thus producing entire solutions with (at least generically) nontrivial Morse index, here minimization of the Maupertuis functional in suitable homotopy classes is enough, thus leading to locally minimal solutions.

As a final comment, we remark that the multiplicity pattern in Theorem 1.1 is a consequence of the result proved in [18, 19], providing solutions separating the set of the centres according to any given partition of it. It is likely that the use of more refined arguments, on the lines of [11, 22], could lead to solutions in different homotopy classes, allowing for self-intersections and revolutions around the centres; in this way, one should obtain a much richer zero-energy dynamics, including scattering solutions, semi-bounded solutions as well as bounded orbits exhibiting symbolic dynamics. Moreover, one of the Reviewers pointed out that it should be possible to obtain infinitely many noncollision parabolic solutions with prescribed asymptotic directions as well as chaotic dynamics by using the results of the very recent paper [6]. All this will be the subject of a future investigation.

1.1. Plan of the paper. In Section 2 we review the existence of topologically non-trivial parabolic solutions of the Bolza problem, while in Section 3 we show how to obtain entire parabolic solutions via a limiting procedure. Actually, we are going to prove that the conclusion of Theorem 1.1 holds true for a larger class of equations of the type

$$(2) \quad \ddot{x} = \nabla U(x), \quad x \in \mathbb{R}^2 \setminus \Sigma,$$

under suitable assumptions on the potential $U \in \mathcal{C}^\infty(\mathbb{R}^2 \setminus \Sigma)$ which we are going to list here below. First of all, we require

$$(3) \quad U(x) > 0, \quad \text{for every } x \in \mathbb{R}^2 \setminus \Sigma.$$

Second, dealing with the behavior of U near the centres we assume that, for some $\alpha \in [1, 2)$,

$$(4) \quad U(x) = \frac{m_i}{\alpha|x - c_i|^\alpha} + U_i(x), \quad i = 1, \dots, N,$$

where $m_i > 0$ and U_i is smooth on $\mathbb{R}^2 \setminus (\Sigma \setminus \{c_i\})$. Finally, as for the behavior of U at infinity, we require that, with the same α as above and some $m > 0$,

$$(5) \quad U(x) = \frac{m}{\alpha|x|^\alpha} + W(x),$$

where, for some $\beta > \alpha/2 + 1$,

$$W(x) = O\left(\frac{1}{|x|^\beta}\right) \quad \text{and} \quad \nabla W(x) = O\left(\frac{1}{|x|^{\beta+1}}\right), \quad \text{for } |x| \rightarrow +\infty.$$

It is easy to verify that the potential

$$V(x) = \sum_{i=1}^N \frac{m_i}{\alpha|x - c_i|^\alpha},$$

giving rise to the generalized N -centre problem (1), satisfies all the above conditions, with $m = \sum_{i=1}^N m_i$ and $\beta = \alpha + 1$.

2. PARABOLIC SOLUTIONS OF THE BOLZA PROBLEM

In this section we look for solutions of the (free-time) fixed-endpoints problem

$$(6) \quad \begin{cases} \ddot{x} = \nabla U(x) \\ x(\pm\omega) = q^\pm, \end{cases}$$

satisfying the zero-energy relation

$$(7) \quad \frac{1}{2}|\dot{x}|^2 = U(x);$$

recall that solutions of (6) satisfying (7) are called parabolic solutions of (6). Motivated by the final application, and in order to make all the discussion more transparent, we assume from the beginning that

$$(8) \quad |q^-| = |q^+| \quad \text{and} \quad q^- \neq q^+;$$

also, we suppose that $|c_i| < |q^-|$ for $i = 1, \dots, N$, that is, all the centres lie inside the ball centered at the origin and of radius $|q^-| = |q^+|$.

Having in mind a variational approach, we introduce the Maupertuis functional

$$\mathcal{M}(u) = \int_{-1}^1 |\dot{u}(t)|^2 dt \int_{-1}^1 U(u(t)) dt$$

defined on the Hilbert manifold

$$\widehat{\Gamma} = \widehat{\Gamma}_{q^\pm} = \left\{ u \in H^1([-1, 1]; \mathbb{R}^2 \setminus \Sigma) : u(\pm 1) = q^\pm \right\};$$

notice that, in view of (3), it holds that $\mathcal{M}(u) \geq 0$ for any $u \in \widehat{\Gamma}$. As well known (see, for instance, [1, Theorem 4.1] and [19, Appendix B]) \mathcal{M} is smooth and any critical point $u \in \widehat{\Gamma}$ satisfies, for $t \in [-1, 1]$,

$$\ddot{u}(t) = \omega^2 \nabla U(u(t)), \quad \frac{1}{2}|\dot{u}(t)|^2 - \omega^2 U(u(t)) = 0,$$

where

$$(9) \quad \omega = \left(\frac{\int_{-1}^1 |\dot{u}(t)|^2 dt}{2 \int_{-1}^1 U(u(t)) dt} \right)^{1/2}.$$

Observe that, since $q^+ \neq q^-$, u is not constant: as a consequence, $\omega > 0$ and the function

$$x(t) = u\left(\frac{t}{\omega}\right), \quad t \in [-\omega, \omega],$$

is easily seen to be a parabolic solution of $\ddot{x} = \nabla U(x)$ on the interval $[-\omega, \omega]$; moreover, of course, $x(\pm\omega) = q^\pm$.

Following [18, 19], multiple critical points of \mathcal{M} can be found by minimizing in suitable homotopy classes. Precisely, write $q^\pm = |q^\pm|e^{i\theta^\pm}$, for suitable $\theta^\pm \in [0, 2\pi)$, and define, for any $u \in \widehat{\Gamma}$, the path $v_u : [-1, 2] \rightarrow \mathbb{R}^2 \setminus \Sigma$ as

$$v_u(t) = \begin{cases} u(t) & t \in [-1, 1] \\ |q^-|e^{i(\theta^+ + (\theta^- - \theta^+ + 2\pi)(t-1))} & t \in [1, 2] \end{cases} \quad \text{if } \theta^- < \theta^+,$$

and

$$v_u(t) = \begin{cases} u(t) & t \in [-1, 1] \\ |q^-|e^{i(\theta^+ + (\theta^- - \theta^+)(t-1))} & t \in [1, 2] \end{cases} \quad \text{if } \theta^+ < \theta^-,$$

namely, we artificially close the path u with the arc on $\partial B_{|q^-|}$ connecting q^+ with q^- in the counterclockwise sense. With this notation, and given $l \in \mathbb{Z}_2^N$, we introduce the set

$$\widehat{\Gamma}_l = \left\{ u \in \widehat{\Gamma} : \text{Ind}(v_u, c_i) \equiv l_i \pmod{2}, \forall i = 1, \dots, N \right\},$$

being (in complex notation)

$$\text{Ind}(v_u, c_i) = \frac{1}{2\pi i} \int_{v_u} \frac{dz}{z - c_i}$$

the usual winding number of a closed planar path. We are now in position to prove the following result:

Theorem 2.1. *Let q^-, q^+ be as in (8) and let $l \in \mathbb{Z}_2^N$ satisfying*

$$(10) \quad \exists k \neq m : l_k \neq l_m.$$

Then, there exists a self-intersection free parabolic solution of (6), corresponding to a (collision-free) minimizer of \mathcal{M} in the H^1 -weak closure of $\widehat{\Gamma}_l$.

Sketch of the proof. The existence of a minimizer u of \mathcal{M} in the H^1 -weak closure of $\widehat{\Gamma}_l$ follows from standard lower-semicontinuity/coercivity arguments; notice however that the coercivity of \mathcal{M} is not straightforward, following from the assumption at infinity (5) (see [9, Lemma 4.2] for the details). The fact that u is collision-free can be proved as in [18, Theorem 4.12] or in [19, Theorem 2.3], using (4) in an essential way and taking into account that the assumption $q^- \neq q^+$ rules out the case of collision-ejection solutions. Finally, the fact that u is self-intersection free follows as in [18, Theorem 4.12] again (see, in particular, [18, Proposition 4.24]). \square

3. ENTIRE PARABOLIC SOLUTIONS

In this section we prove Theorem 1.1 via an approximation argument. More precisely, given $\xi^-, \xi^+ \in \mathbb{S}^1$ with $\xi^- \neq \xi^+$ and a partition \mathcal{P} of Σ , we first define $l \in \mathbb{Z}_2^N$ by setting $l_i = 1$ if and only if $c_i \in \mathcal{P}$ and we apply Theorem 2.1 with the choice $q^\pm = R\xi^\pm$ for $R > 0$ large enough (notice that in this way (8) is surely satisfied) so as to find an associated parabolic solution $x_R : [-\omega_R, \omega_R] \rightarrow \mathbb{R}^2$; then, we are going to show that an entire parabolic solution $x : \mathbb{R} \rightarrow \mathbb{R}^2$ can be obtained by passing to the limit when $R \rightarrow +\infty$.

In order to do this, the assumption at infinity (5) will play a crucial role. For further convenience, we fix from the beginning two constants $C_-, C_+ > 0$ and a constant $K > \sup_i |c_i| + 1$ such that

$$(11) \quad |W(x)| \leq \frac{C_+}{|x|^\beta} \quad \text{and} \quad |\nabla W(x)| \leq \frac{C_+}{|x|^{\beta+1}}, \quad \text{for every } |x| \geq K,$$

$$(12) \quad 2|W(x)| + |\nabla W(x) \cdot x| \leq \frac{(2-\alpha)m}{2\alpha} \frac{1}{|x|^\alpha}, \quad \text{for every } |x| \geq K,$$

$$(13) \quad \frac{C_-}{|x|^\alpha} \leq U(x) \leq \frac{C_+}{|x|^\alpha}, \quad \text{for every } |x| \geq K,$$

and

$$(14) \quad \sqrt{\frac{m}{\alpha}} \frac{1}{|x|^{\alpha/2}} - \frac{C_+}{|x|^{\beta-\alpha/2}} \leq \sqrt{U(x)} \leq \sqrt{\frac{m}{\alpha}} \frac{1}{|x|^{\alpha/2}} + \frac{C_+}{|x|^{\beta-\alpha/2}}, \quad \text{for every } |x| \geq K.$$

The estimates (11), (12) and (13) are rather obvious, while (14) follows from (11) using the elementary inequalities $1 - |s| \leq \sqrt{1+s} \leq 1 + \frac{1}{2}s$ (valid for $s \geq -1$).

We are now in position to give the proof; as a useful notation, we set $r_R(t) = |x_R(t)|$ and, whenever $r_R(t) \neq 0$, $s_R(t) = \frac{x_R(t)}{r_R(t)}$. We split our arguments into several steps; first of all, we observe that due to the assumption (10) any solution x_R enters the ball B_K , so that

$$\limsup_{R \rightarrow +\infty} \min_t r_R(t) \leq K < +\infty.$$

3.1. The virial identity and some preliminary estimates. Preliminary, we observe that, due to the fact that x_R has zero-energy (see (7)), the following equality - often referred to as virial identity - holds true:

$$\frac{d^2}{dt^2} \left(\frac{1}{2} r_R(t)^2 \right) = 2U(x_R(t)) + \nabla U(x_R(t)) \cdot x_R(t).$$

Using (5) and (12), we see that the above expression is strictly positive for $|x_R(t)| \geq K$, precisely

$$(15) \quad \frac{d^2}{dt^2} \left(\frac{1}{2} r_R(t)^2 \right) \geq \frac{(2-\alpha)m}{2\alpha r_R(t)^\alpha}.$$

Therefore, $t_0 \in (-\omega_R, \omega_R)$ can be a local maximum for $t \mapsto r_R(t)$ only if $r_R(t_0) < K$.

On one hand, this implies that $r_R(t) < R$ for every $t \in (-\omega_R, \omega_R)$. As a consequence, x_R separates the set Σ according the partition \mathcal{P} , in the sense specified in [18, pp. 3263-3264] (that is to say, when closing the path x_R as described in Section 2 so as to find a Jordan curve γ_R , two centres lie in the same connected component of $\mathbb{R}^2 \setminus \gamma_R$ if and only if they are both in \mathcal{P} or both in $\Sigma \setminus \mathcal{P}$).

On the other hand, it follows that there are exactly two instants $t_R^\pm \in (-\omega_R, \omega_R)$, with $t_R^- < t_R^+$, such that $r_R(t_R^\pm) = K$ (implying $r_R(t) < K$ for $t \in (t_R^-, t_R^+)$ and $r_R(t) > K$ for $t \notin [t_R^-, t_R^+]$); moreover, $\dot{r}_R(t) \neq 0$ for $t \notin (t_R^-, t_R^+)$. Using the fact that x_R has zero-energy together with (13), we also find

$$\begin{aligned} \omega_R - t_R^+ &= \int_{t_R^+}^{\omega_R} \frac{\dot{r}_R(t)}{\dot{r}_R(t)} dt \geq \frac{1}{\sqrt{2C_+}} \int_{t_R^+}^{\omega_R} \frac{\dot{r}_R(t)}{r_R(t)^{-\alpha/2}} dt \\ &= \frac{1}{(1+\alpha/2)\sqrt{2C_+}} \left(R^{1+\alpha/2} - K^{1+\alpha/2} \right), \end{aligned}$$

implying that $\omega_R - t_R^+ \rightarrow +\infty$ for $R \rightarrow +\infty$. Analogously, $-\omega_R - t_R^- \rightarrow -\infty$.

For the rest of the proof, it is convenient to suppose $t_R^- = -t_R^+$, that is, the time spent by x_R inside the ball B_K is a symmetric interval with respect to the origin. This is not restrictive, up to a (R -dependent) time shift of the solution x_R . With a slight abuse of notation, we will still denote by x_R this time-translation, and by $[\omega_R^-, \omega_R^+]$ its interval of definition.

3.2. Passing to the limit: a generalized solution. In this step, we show how to pass to the limit when $R \rightarrow +\infty$, so as to find an entire *generalized solution*, that is, a solution with a zero-measure (but possibly non-empty) set of collision instants, see [2]. For the next arguments, we write

$$\mathcal{A}_{[a,b]}(x) = \int_a^b \left(\frac{1}{2} |\dot{x}(t)|^2 + U(x(t)) \right) dt$$

for the action of an H^1 -path $x : [a, b] \rightarrow \mathbb{R}^2 \setminus \Sigma$; notice that, whenever x satisfies the zero-energy relation (7), we have

$$\mathcal{A}_{[a,b]}(x) = \int_a^b |\dot{x}(t)|^2 dt = 2 \int_a^b U(x(t)) dt = \sqrt{2} \int_a^b |\dot{x}(t)| \sqrt{U(x(t))} dt.$$

Having introduced this notation, the crucial point will be to prove that

$$(16) \quad \limsup_{R \rightarrow +\infty} \mathcal{A}_{[t_R^-, t_R^+]}(x_R) < +\infty,$$

with t_R^\pm defined by the previous step. From this, several facts can be deduced. Precisely, since

$$\left(\inf_{|x| \leq K} U(x) \right) (t_R^+ - t_R^-) \leq \int_{t_R^-}^{t_R^+} U(x_R(t)) dt,$$

we get at first that $t_R^+ - t_R^-$ is bounded, say $t_R^+ - t_R^- \leq 2T$ for any R . From this, together with the fact that $|x_R(t)| \leq K$ for $t \in [t_R^-, t_R^+]$ and with (16) again, we infer that

$$\|x_R\|_{H^1(t_R^-, t_R^+)}^2 = \int_{t_R^-}^{t_R^+} (|x_R(t)|^2 + |\dot{x}_R(t)|^2) dt$$

is bounded as well. Using moreover the fact that $|\ddot{x}_R(t)| \leq \left(\sup_{|x| \geq K} U(x) \right)$ for $t \notin [t_R^-, t_R^+]$, together with the boundedness of $|x_R(t_R^\pm)|$ and of $|\dot{x}_R(t_R^\pm)| = \sqrt{2U(x_R(t_R^\pm))}$, we finally conclude that x_R is bounded in $H_{\text{loc}}^1(\mathbb{R})$. As a consequence, there exists an H^1 -function $x_\infty : \mathbb{R} \rightarrow \mathbb{R}^2$ such that $x_R \rightarrow x_\infty$ weakly in $H_{\text{loc}}^1(\mathbb{R})$ (in particular, uniformly on compact sets) for $R \rightarrow +\infty$. Of course, x_∞ turns out to be a parabolic solution of (2) as long as it does not collide with the set of the centres; moreover, $|x_\infty(t)| \geq K$ for $|t| \geq T$ so that the arguments of Subsection 3.1 imply that x_∞ is unbounded for $t \rightarrow \pm\infty$. Finally, by the H_{loc}^1 -boundedness and Fatou's lemma,

$$\int_{-T}^T U(x_\infty(t)) dt \leq \liminf_{R \rightarrow +\infty} \int_{-T}^T U(x_R(t)) dt = \liminf_{R \rightarrow +\infty} \frac{1}{2} \int_{-T}^T |\dot{x}_R(t)|^2 dt < \infty,$$

implying that the set of collision instants has zero measure.

The rest of this subsection is then devoted to the proof of (16). We are going to show that

$$(17) \quad \mathcal{A}_{[\omega_R^-, \omega_R^+]}(x_R) \leq \left(\sqrt{\frac{2m}{\alpha}} \frac{4}{2-\alpha} \right) R^{1-\alpha/2} + M$$

and that

$$(18) \quad \mathcal{A}_{[\omega_R^-, t_R^-] \cup [t_R^+, \omega_R^+]}(x_R) \geq \left(\sqrt{\frac{2m}{\alpha}} \frac{4}{2-\alpha} \right) R^{1-\alpha/2} - M,$$

for some constant $M > 0$, from which (16) clearly follows.

We first prove (17). To this end, let us define the H^1 -path

$$\zeta(t) = \begin{cases} \xi^+ \eta^+(t) & \text{for } t \in [1, \Theta_R^+] \\ \gamma(t) & \text{for } t \in [-1, 1] \\ \xi^- \eta^-(t) & \text{for } t \in [\Theta_R^-, -1], \end{cases}$$

where γ is an arbitrary H^1 -path joining the points $K\xi^-$ and $K\xi^+$ and separating the set according to the partition \mathcal{P} (in the sense specified in Section 2), $\eta^+ : [1, +\infty) \rightarrow [K, +\infty)$ and $\eta^- : (-\infty, -1] \rightarrow [K, +\infty)$ are the solutions of the Cauchy problems

$$\dot{\eta}^\pm = \pm \sqrt{2U(\xi^\pm \eta^\pm)}, \quad \eta^\pm(\pm 1) = K$$

and Θ_R^+, Θ_R^- (for $R > K$) are the unique points such that $\eta^\pm(\Theta_R^\pm) = R$. Then, we set

$$\tilde{\zeta}(t) = \zeta \left(\Theta_R^- + \frac{1}{2}(\Theta_R^+ - \Theta_R^-)(t+1) \right), \quad \text{for any } t \in [-1, 1],$$

in such a way that $\tilde{\zeta}$ is an H^1 -path defined on $[-1, 1]$, joining the points $R\xi^-$ and $R\xi^+$ and separating the set according to the partition \mathcal{P} . Using the well known relation

$$\frac{1}{\sqrt{2}} \mathcal{A}_{[\omega_R^-, \omega_R^+]}(x_R) = \sqrt{\mathcal{M}(u_R)}, \quad \text{with } u_R(t) = x_R \left(\omega_R^- + \frac{1}{2}(\omega_R^+ - \omega_R^-)(t+1) \right),$$

together with the minimality of u_R in the corresponding homotopy class, we find

$$\frac{1}{\sqrt{2}} \mathcal{A}_{[\omega_R^-, \omega_R^+]}(x_R) \leq \sqrt{\mathcal{M}(\tilde{\zeta})}.$$

We therefore compute

$$\begin{aligned} \sqrt{\mathcal{M}(\tilde{\zeta})} &= \frac{1}{\sqrt{2}} \inf_{\Theta > 0} \mathcal{A}_{[-\Theta, \Theta]}(\tilde{\zeta}(\cdot/\Theta)) \leq \frac{1}{\sqrt{2}} \int_{\Theta_R^-}^{\Theta_R^+} \left(\frac{1}{2} |\dot{\zeta}(t)|^2 + U(\zeta(t)) \right) dt \\ &\leq \frac{1}{\sqrt{2}} \int_{\Theta_R^-}^{-1} \left(\frac{1}{2} |\dot{\eta}^-(t)|^2 + U(\xi^- \eta^-(t)) \right) dt + \frac{1}{\sqrt{2}} \mathcal{A}_{[-1, 1]}(\gamma) \\ &\quad + \frac{1}{\sqrt{2}} \int_1^{\Theta_R^+} \left(\frac{1}{2} |\dot{\eta}^+(t)|^2 + U(\xi^+ \eta^+(t)) \right) dt \\ &= M_+ + \int_{-1}^{\Theta_R^-} \sqrt{U(\xi^- \eta^-(t))} \dot{\eta}^-(t) dt + \int_1^{\Theta_R^+} \sqrt{U(\xi^+ \eta^+(t))} \dot{\eta}^+(t) dt \\ &= M_+ + \int_K^R \sqrt{U(\xi^- r)} dr + \int_K^R \sqrt{U(\xi^+ r)} dr, \end{aligned}$$

with $M_+ = \frac{1}{\sqrt{2}} \mathcal{A}_{[-1, 1]}(\gamma)$ (not depending on R). Now, using the estimate from above in (14) we find

$$\sqrt{U(\xi^\pm r)} \leq \sqrt{\frac{m}{\alpha}} \frac{1}{r^{\alpha/2}} + \frac{C_+}{r^{\beta-\alpha/2}}, \quad \text{for every } r \geq K,$$

so that, with a simple computation,

$$\sqrt{\mathcal{M}(\tilde{\zeta})} \leq \left(\sqrt{\frac{m}{\alpha}} \frac{4}{2-\alpha} \right) R^{1-\alpha/2} + M_+ + \frac{4C_+}{2\beta-\alpha-2},$$

finally implying (17). To prove (18), we write

$$\mathcal{A}_{[\omega_R^-, t_R^-] \cup [t_R^+, \omega_R^+]}(x_R) = \sqrt{2} \int_{[\omega_R^-, t_R^-] \cup [t_R^+, \omega_R^+]} |\dot{x}_R(t)| \sqrt{U(x_R(t))} dt$$

and we observe that $|\dot{x}_R(t)| \geq |\dot{r}_R(t)|$; moreover, by the arguments in Subsection 3.1, $\dot{r}_R(t) < 0$ for $t \in [\omega_R^-, t_R^-]$ and $\dot{r}_R(t) > 0$ for $t \in [t_R^+, \omega_R^+]$. Hence, using the estimate from below (14) yields the conclusion.

3.3. Asymptotic directions. We now prove that the (generalized) solution x_∞ has ξ^\pm as asymptotic directions for $t \rightarrow \pm\infty$, respectively; more precisely, writing $s_\infty(t) = \frac{x_\infty(t)}{|x_\infty(t)|}$ for $|t| \geq T$, we are going to show that $s_\infty(\pm\infty) = \xi^\pm$. Throughout this step of the proof, we assume that the solution x_R is defined on the whole real line, as well. This is not restrictive, since the arguments of Subsection 3.1 (together with the boundedness of ∇U at infinity) rule out the occurrence of blow-up phenomena, and of course does not have influence on the local convergence $x_R \rightarrow x_\infty$; however, it turns out to be useful since it allows to perform estimates valid for any t large enough (in absolute value).

We give the details for $t \rightarrow +\infty$. As a first step, we prove that

$$(19) \quad r_R(t) \geq \left(\frac{(2-\alpha)m}{2\alpha} \right)^{\frac{1}{\alpha+2}} (t - t_R^+)^{\frac{2}{\alpha+2}}, \quad \text{for every } t \geq t_R^+.$$

To obtain the above inequality, we first integrate (15) on $[t_R^+, s]$, recalling that $r_R(s) \leq r_R(t)$ whenever $t_R^+ \leq s \leq t$, so as to obtain

$$\frac{d}{dt} \left(\frac{1}{2} r_R(s)^2 \right) \geq \frac{(2-\alpha)m}{2\alpha m} \frac{(s - t_R^+)}{r_R(t)^\alpha}, \quad \text{for every } t \geq s;$$

a further integration on $[t_R^+, t]$ thus yields (19).

Taking into account that $t_R^+ \leq T$, it follows from (19) that there exists $\widehat{T} > T$ such that $r_R(t) \geq K + 1$ for $t \geq \widehat{T}$. We now claim that

$$(20) \quad |\dot{s}_R(t)| \leq \frac{C}{(t - T)^{\frac{4}{\alpha+2}}}, \quad \text{for every } t \geq \widehat{T},$$

where $C > 0$ is a suitable constant depending only on the potential (and on K). To prove this, we define $A_R(t) = x_R(t) \wedge \dot{x}_R(t)$. Taking into account (5) and (11), we first obtain from (19) that

$$|\dot{A}_R(t)| = |x_R(t) \wedge \nabla W(x_R(t))| \leq \frac{C_+}{r_R^\beta(t)} \leq C_+ \left[\frac{2\alpha}{(2-\alpha)m} \right]^{\beta/(\alpha+2)} \frac{1}{(t - t_R^+)^{2\beta/(\alpha+2)}}$$

for every $t \geq t_R^+$. Denoting by $\hat{t}_R \in (t_R^+, \widehat{T}]$ the (unique) instant such that $r_R(\hat{t}_R) = K + 1$, we then obtain, for $t \geq \widehat{T}$,

$$\begin{aligned} |A_R(t)| &\leq |x_R(\hat{t}_R)| |\dot{x}_R(\hat{t}_R)| + \int_{\hat{t}_R}^{+\infty} |\dot{A}_R(\tau)| d\tau \\ &\leq r_R(\hat{t}_R) \sqrt{2U(x_R(\hat{t}_R))} + \int_{\hat{t}_R}^{+\infty} |\dot{A}_R(\tau)| d\tau, \end{aligned}$$

where

$$\begin{aligned} r_R(\hat{t}_R) \sqrt{2U(x_R(\hat{t}_R))} &\leq \sqrt{2C_+} (K + 1)^{\frac{2-\alpha}{2}} \\ \int_{\hat{t}_R}^{+\infty} |\dot{A}_R(\tau)| d\tau &\leq C_+ \left[\frac{2\alpha}{(2-\alpha)m} \right]^{\beta/(\alpha+2)} \frac{\alpha + 2}{2\beta - \alpha - 2} \frac{1}{(\hat{t}_R - t_R^+)^{(2\beta - \alpha - 2)/(\alpha+2)}} \\ \hat{t}_R - t_R^+ &\geq \frac{1}{(1 + \alpha/2)\sqrt{2C_+}} [(K + 1)^{1+\alpha/2} - K^{1+\alpha/2}] \end{aligned}$$

using (13) to bound from above $U(x_R(\hat{t}_R))$. We have argued as in Subsection 3.1 to bound from below the quantity $\hat{t}_R - t_R^+$. Observing that $|\dot{s}_R(t)| = \frac{|A_R(t)|}{|r_R(t)|^2}$ and using (19) once again, (20) finally follows.

From this we can easily conclude. Indeed, on one hand Lebesgue's theorem is seen to apply, giving (together with uniform convergence on compact sets),

$$s_R(+\infty) = s_R(\hat{T}) + \int_{\hat{T}}^{\infty} \dot{s}_R(\tau) d\tau \rightarrow s_{\infty}(\hat{T}) + \int_{\hat{T}}^{\infty} \dot{s}_{\infty}(\tau) d\tau = s_{\infty}(+\infty)$$

for $R \rightarrow +\infty$. On the other hand, recalling that $s_R(\omega_R^+) = \xi^+$ and using (20) again,

$$s_R(+\infty) = \xi^+ + \int_{\omega_R^+}^{+\infty} \dot{s}_R(\tau) d\tau \rightarrow \xi^+,$$

finally yielding $s_{\infty}(+\infty) = \xi^+$. The proof that $s_{\infty}(-\infty) = \xi^-$ is analogous.

3.4. Avoiding collisions. In this step, we rule out the occurrence of collisions for x_{∞} , that is, we prove that $x_{\infty}(t) \notin \Sigma$ for any $t \in \mathbb{R}$. We need to distinguish two cases, depending on whether $\alpha \in (1, 2)$ or $\alpha = 1$.

Let us suppose that $\alpha \in (1, 2)$. Assume by contradiction that $x_{\infty}^{-1}(\Sigma) \neq \emptyset$; to fix the ideas, suppose that x_{∞} has (at least one) collision with the centre c_1 and take $\delta^* > 0$ so small that $c_i \notin B_{\delta^*}(c_1)$ for $i = 2, \dots, N$. Then it is possible to find $\tau_R^-, \tau_R, \tau_R^+ \in (t_R^-, t_R^+)$ such that $\tau_R^- < \tau_R < \tau_R^+$, $\delta_R := |x_R(\tau_R) - c_1| = \min_t |x_R(t) - c_1| \rightarrow 0^+$,

$$|x_R(\tau_R^{\pm}) - c_1| = \delta^* \quad \text{and} \quad |x_R(t) - c_1| \leq \delta^*, \quad \text{for any } t \in [\tau_R^-, \tau_R^+].$$

Since $t_R^+ - t_R^-$ is bounded and $x_R \rightarrow x_{\infty}$ uniformly on compact sets, both $\tau_R - \tau_R^-$ and $\tau_R^+ - \tau_R$ are bounded away from zero. Let us define

$$v_R(t) = \frac{1}{\delta_R} \left(x_R \left(\delta_R^{1+\alpha/2} t + \tau_R \right) - c_1 \right), \quad t \in [-\gamma_R, \sigma_R],$$

where

$$-\gamma_R = \frac{\tau_R^- - \tau_R}{\delta_R^{1+\alpha/2}} \quad \text{and} \quad \sigma_R = \frac{\tau_R^+ - \tau_R}{\delta_R^{1+\alpha/2}}.$$

Notice that $-\gamma_R \rightarrow -\infty$ and $\sigma_R \rightarrow +\infty$, $|v_R(0)| = 1$, $|v_R(t)| \geq 1$ and $|\delta_R v_R(t)| \leq \delta^*$ for $t \in [-\gamma_R, \sigma_R]$. An easy computation shows that, writing U as in (4), v_R satisfies

$$\ddot{v}_R = -\frac{m_1 v_R}{|v_R|^{\alpha+2}} + \delta_R^{1+\alpha} \nabla U_1(\delta_R v_R + c_1)$$

and

$$\frac{1}{2} |\dot{v}_R|^2 = \frac{m_1}{\alpha |v_R|^{\alpha}} + \delta_R^{\alpha} U_1(\delta_R v_R + c_1).$$

As a consequence, it is easy to see that $v_R \rightarrow v_{\infty}$ in $\mathcal{C}_{\text{loc}}^2(\mathbb{R})$, where v_{∞} is a zero-energy solution of

$$\ddot{v}_{\infty} = -\frac{m_1 v_{\infty}}{|v_{\infty}|^{\alpha+2}}.$$

By [9, Proposition 6.1], v_{∞} has transversal self-intersections. Since transversal self-intersections are stable with respect to small perturbations, this contradicts the fact that x_R (and hence v_R) is self-intersection free, thus ending the proof.

Assume instead that $\alpha = 1$. Keeping the previous notation (and assuming now, up to passing to a subsequence, the existence of the limit $\tau_R \rightarrow \tau_\infty$) we define the Sundman integral

$$s_R(t) = \int_{\tau_R}^t \frac{d\tau}{|x_R(\tau) - c_1|}, \quad t \in [\tau_R^-, \tau_R^+],$$

and we use (with the usual identification $\mathbb{R}^2 \cong \mathbb{C}$) the well known Levi-Civita change of variables

$$w_R(s)^2 = x_R(t_R(s)) - c_1, \quad s \in [\sigma_R^-, \sigma_R^+],$$

being t_R the inverse of s_R and $\sigma_R^\pm = s_R(\tau_R^\pm)$. Notice that the above change of variables is not one-to-one; however, we can uniquely define w_R by writing in polar coordinates $x_R - c_1 = \rho_R e^{i\varphi_R}$ and setting $w_R = \rho_R^{1/2} e^{i\varphi/2}$. Also, observe that both σ_R^- and σ_R^+ are bounded away from zero, since $|s_R(t)| \geq |t - \tau_R|/\delta^*$.

Standard computations yield:

$$\ddot{x}_R = 2w_R'' \frac{w_R}{|w_R|^4} = -2 \frac{w_R^2 |w_R'|^2}{|w_R|^6}.$$

Here and in what follows all functions w_R and their derivatives are evaluated at $s = s_R(t)$. Using the equation and writing U as in (4) with $\alpha = 1$ we get

$$2w_R'' w_R = 2 \frac{w_R^2 |w_R'|^2}{|w_R|^2} - \frac{m_1 w_R^2}{|w_R|^2} + |w_R|^4 \nabla U_1(c_1 + w_R^2)$$

which gives

$$w_R'' = \frac{w_R}{|w_R|} |w_R'|^2 - \frac{m_1 w_R}{2|w_R|^2} + \frac{\bar{w}_R}{2} |w_R|^2 \nabla U_1(c_1 + w_R^2)$$

once it is multiplied by the complex conjugate \bar{w}_R . Finally the zero-energy relation for x_R yields

$$(21) \quad w_R'' = \frac{w_R}{2} U_1(c_1 + w_R^2) + \frac{\bar{w}_R}{2} |w_R|^2 \nabla U_1(c_1 + w_R^2);$$

moreover

$$|w_R(0)| = |x_R(\tau_R) - c_1| = \delta_R \rightarrow 0$$

and

$$|w_R'(0)|^2 = \frac{m_1}{2} + \frac{|w_R(0)|^2}{2} U_1(c_1 + w_R(0)^2) \rightarrow \frac{m_1}{2}.$$

By a continuous dependence argument, w_R converges (up to subsequences) uniformly on compact intervals containing the origin to the solution w_∞ of the Cauchy problem associated with (21) having initial conditions $w_\infty(0) = 0$ and $w_\infty'(0) = \nu$ for some $|\nu|^2 = \frac{m_1}{2}$; moreover, the symmetries of the differential equation (21) imply that it must be $w_\infty(-s) = -w_\infty(s)$ for any s small enough.

It follows that

$$t_R(s) = \tau_R + \int_0^s |w_R(\sigma)|^2 d\sigma \rightarrow t_\infty(s) = \tau_\infty + \int_0^s |w_\infty(\sigma)|^2 d\sigma$$

uniformly on compact sets for $R \rightarrow +\infty$; moreover, the map $s \mapsto t_\infty(s) - \tau^*$ is an odd function. Taking into account that $x_R \rightarrow x_\infty$ uniformly on compact sets, we find

$$w_\infty(s)^2 = x_\infty(t_\infty(s)) - c_1, \quad \text{for every } s \text{ small enough,}$$

finally implying that $x_\infty(t) \neq c_1$ for t near τ_∞ and that

$$x_\infty(\tau_\infty - t) = x_\infty(\tau_\infty + t), \quad \text{for every } t \text{ small enough.}$$

Since x_∞ is a classical solution of (2) outside the collision set, and possibly repeating the above argument for any collision instant, we find a contradiction with the global property that x_∞ has different asymptotic directions for $t \rightarrow \pm\infty$.

3.5. Conclusion. To conclude, we only need to show that x_∞ is self-intersection free and that has the desired topological characterization. Actually, this second property immediately follows from the first one (taking into account the topological characterization of x_R), so let us show that x_∞ is self-intersection free. Of course, transversal self-intersections are ruled out since x_R is self-intersection free. On the other hand, assume by contradiction that there is a tangential self-intersection, that is, $x_\infty(t_1) = x_\infty(t_2)$ and $\dot{x}_\infty(t_1)$ parallel to $\dot{x}_\infty(t_2)$ for some $t_1 \neq t_2$. Then, the zero-energy condition gives $|\dot{x}_\infty(t_1)| = |\dot{x}_\infty(t_2)|$, so that $\dot{x}_\infty(t_1) = \pm \dot{x}_\infty(t_2)$. Both the cases are not possible in view of the local uniqueness to the Cauchy problems. More precisely, in the first one x_∞ should be periodic, while in the second one it should be $x_\infty(t) = x_\infty(t_2 + t_1 - t)$, which implies that $\dot{x}_\infty((t_2 + t_1)/2) = 0$ contradicting the conservation of energy.

Remark 3.1. If $\alpha \in (1, 2)$ then the conclusion of Theorem 1.1 still holds true when $\xi^+ = \xi^-$. In fact we observe that, if $\alpha > 1$, then Theorem 2.1 holds also for $q^+ = q^-$ (see [19]). Moreover, condition (10) grants that the minimizer u of \mathcal{M} in $\widehat{\Gamma}_l$ is not constant and that its value ω in (9) is positive. Therefore, it is possible to repeat the argument of Section 3 which does not use the assumption $\xi^+ \neq \xi^-$ for $\alpha \in (1, 2)$.

Remark 3.2. Arguing as in [9, Proposition 2.4], it is possible to prove that the solution given by Theorem 1.1 satisfies the asymptotic estimate

$$|x(t)| \sim \left(\sqrt{\frac{m}{2\alpha}} (2 + \alpha) \right)^{\frac{2}{2+\alpha}} |t|^{\frac{2}{2+\alpha}},$$

when $t \rightarrow \pm\infty$.

Remark 3.3. We finally briefly describe the behavior of the above found parabolic solutions when collapsing all the centres into a single one. In order to do this, we consider the parameter dependent N -centre problem

$$(22) \quad \ddot{y}_\varepsilon = - \sum_{i=1}^N \frac{m_i (y - \varepsilon c_i)}{|y - \varepsilon c_i|^{\alpha+2}}$$

when $\varepsilon \rightarrow 0^+$. Using a rescaling argument, solutions to the above equation can be obtained starting from solutions of (1). More precisely, if x denotes an entire parabolic solution of (1), then the function

$$y_\varepsilon(t) = \varepsilon x \left(\frac{t}{\varepsilon^{\frac{2+\alpha}{2}}} \right), \quad t \in \mathbb{R};$$

is a zero-energy solution of (22). As a consequence of the asymptotic estimate given in Remark 3.2, we have that the pointwise limit of $y_\varepsilon(t)$ for $\varepsilon \rightarrow 0^+$ exists, with

$$\lim_{\varepsilon \rightarrow 0^+} y_\varepsilon(t) = \begin{cases} \left(\sqrt{\frac{m}{2\alpha}}(2+\alpha) \right)^{\frac{2}{2+\alpha}} |t|^{\frac{2}{2+\alpha}} \xi^- & t < 0, \\ 0 & t = 0, \\ \left(\sqrt{\frac{m}{2\alpha}}(2+\alpha) \right)^{\frac{2}{2+\alpha}} |t|^{\frac{2}{2+\alpha}} \xi^+ & t > 0. \end{cases}$$

As mentioned in the introduction, we have thus shown that, by collapsing all the centres into a single one, y_ε converges to the juxtaposition of two rectilinear solutions of the α -Kepler problem (actually, the convergence is easily seen to be $\mathcal{C}_{\text{loc}}^2(\mathbb{R} \setminus \{0\})$; compare with [22]).

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